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 / /**RWSPL—APPLICATION FOR REGISTRATION FOR** Sub Broker (SB) Authorised Person (AP) Mutual Fund Distributor

SB/AP CODE	SB/AP DEPOSIT CODE	GROUP CODE	Branch Code
SEGMENT	NUMBER OF TERMINAL APPLIED FOR	CHARGES PER TERMINAL PER MONTH	TOTAL TERMINAL CHARGES PER MONTH PER TERMINAL
BSE Cash		Rs. 300/-	PHOTO
NSE Cash		Rs. 300/-	
NSE F&O		Rs. 300/-	
NSE CDS		Rs. 300/-	
Name of Franchisee			
Name of Person			
Dealing Address			
<input type="checkbox"/> Own			
<input type="checkbox"/> Rented			
Residential Address			
City: _____ Pin Code: _____			
Contact			
(O) _____ (M): _____			
(R) _____ (Email): _____			
PAN			
Education Qualification			
Experience			
Constitution <input type="checkbox"/> Individual <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Company <input type="checkbox"/> Other (Specify)_____			
Bank Details			
Bank Name: _____ Branch Details: _____			
Account Type: <input type="checkbox"/> Saving <input type="checkbox"/> Current IFSC: _____			
Account No.: _____ MICR: _____			
A. COMMISSION SHARING CONDITION			
Broking: Sharing Method	<input type="checkbox"/> Fixed	<input type="checkbox"/> % Sharing	
	RWSPL	Sub-Broker/AP/MF Distributor	
Broking	Fill only if in sharing	Fill only if in sharing	
Mutual Fund	20	80	
PMS	50	50	
B. OTHER DETAILS			
Default Risk Category			
Agreed Deposit	CASH : _____	SHARES : _____	
Deduction in Brokrage/Month towards	DEPOSIT : _____	RENT : _____	

Note: Delayed Payment Charges (DPC) will be applied at the rate of 18.00% p.a.

SIGNATURE OF SB/AP/MFD

SIGNATURE OF MD:

CASH SEGMENT	INTRA DAY				DELIVERY	
	1ST SIDE		2ND SIDE			
BASE	MIN	PERCENTAGE	MIN	PERCENTAGE	MIN	PERCENTAGE
SCHEME						

CLIENT'S BROKERAGE SLAB:

01						
02						
03						
04						

FNO SEGMENT	FUTURES				OPTIONS	
	1ST SIDE		2ND SIDE			
BASE	MIN	PERCENTAGE	MIN	PERCENTAGE	MIN	PERCENTAGE
SCHEME						

CLIENT'S BROKERAGE SLAB:

01						
02						
03						
04						

CDS SEGMENT	FUTURES				OPTIONS	
	1ST SIDE		2ND SIDE			
BASE	MIN	PERCENTAGE	MIN	PERCENTAGE	MIN	PERCENTAGE
SCHEME						

CLIENT'S BROKERAGE SLAB:

01						
02						
03						
04						

**Minimum brokerage Rs.15 per contract will be charged to clients.

- I/We declare that the above information is true and fair to the best of my/our knowledge and belief, and I undertake to immediately inform R. Wadiwala Securities Pvt. Ltd. about changes, if any in the above details.
- I/We have fully understood the terms and conditions set by R. Wadiwala Securities Pvt. Ltd and hereby apply to become a Sub broker/AP/MF Distributor of R. Wadiwala Securities Pvt. Ltd.
- I/We agree to abide the rules and regulations of R. Wadiwala Securities Pvt. Ltd. that may be in force from time to time.

SIGNATURE OF SB/AP/MFD:

SIGNATURE OF COMPLIANCE OFFICER :

SIGNATURE OF MD :
